| CONFIDENTIALITY STIPULATION AND PROTECTIVE ORDER |             |                         |
|--|-------------|-------------------------|
|  | Defendants. | V                       |
| HOME DEPOT U.S.A., INC.                          |             |                         |
| -against-  |             |                         |
|  | Plaintiff,  | 24 0V 02700             |
| KWOK SOOHOO and PIK SOOHOO,                      |             | Docket No.: 24-cv-02798 |
| SOUTHERN DISTRICT OF NEW YO                      | =           | <b>V</b>                |

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The parties in the above-styled litigation agree that the discovery sought by all parties is likely to involve the production of documents and things containing business, competitive, proprietary, trade secret or other information of a sensitive nature about the party (or another person which information the party is under a duty to maintain in confidence), hereafter referred to as "Confidential Information," and witness testimony containing Confidential Information. Accordingly, the parties having agreed to enter into the Confidentiality Stipulation and Protective Order ("the Order") to govern the production of documents and testimony that contains Confidential Information, and for good cause shown, the Court hereby ORDERS as follows:

## **Designation of Confidential Information**

1. Designation of Material. Documents and other things claimed to be or to contain Confidential Information shall, prior to production, be marked by the producing party as "Confidential." Placement of the "Confidential" designation on each protected page or on the initial page of a protected document when it is produced shall constitute notice and shall designate the document as Confidential material. Copies, extracts, summaries, notes, and other derivatives of Confidential material also shall be deemed Confidential material and shall be subject to the provisions of this Order.

- 2. Subsequent Designation. Documents and/or materials produced in the litigation that are not identified as Confidential Information when they were initially produced may within a reasonable time thereafter be designated as Confidential by the producing party, or by the party or parties receiving the production, or by a person, by providing written notice to counsel for all other parties and to any person who may be involved. Each party or person who receives such written notice shall endeavor to retrieve any Confidential Information that may have been disseminated, shall affix a "Confidential" designation to it, and shall thereafter distribute it only as allowed by this Order. No distribution prior to the receipt of such written notice shall be deemed a violation of this Order.
- 3. Designation of Depositions. Depositions or portions thereof upon oral or written questions may be classified as Confidential Information either by an examining party's attorney or by an attorney defending or attending the deposition. A party claiming that a deposition or any portion thereof is Confidential Information shall give notice of such claim to the other affected parties and persons either prior to or during the deposition, or within 28 days after receipt of the deposition transcript, and the testimony taken and the transcript of such deposition or portion thereof shall be designated as Confidential.
- 4. *Modification of Designation*. The designation of Confidential Information by the producing party shall not be determinative and may be modified or eliminated at any time in one of two ways, as explained below.
- (a) The producing party may agree in writing to downgrade or eliminate the Confidential designation concerning any material produced.
- (b) If the parties cannot agree as to the designation of any particular information or material after good faith discussion, the party claiming confidentiality may move the Court to downgrade or eliminate the "Confidential" designation. The burden of proving that the information has been properly designated as protected shall be on the party who made the original designation.

## **Access to Confidential Information**

- 5. *General Access*. Except as otherwise expressly provided herein or ordered by the Court, Confidential Information may be revealed only as follows:
- (a) To outside counsel for a party hereto (and secretaries, paralegals, and other staff employed in the offices of such outside counsel who are working on the litigation), provided that outside counsel who are not of record must first sign and deliver to counsel of record for each party or parties a letter in the form of Exhibit A hereto.
- (b) To parties after they have been given a copy of this Confidentiality Stipulation by their outside counsel and signed a letter in the form of Exhibit A.

## OMITTED

(d) To independent experts and independent consultants (meaning a person who is not an employee, officer, director, or owner in any capacity of a party who is retained by a party or party's outside counsel in good faith for the purpose of assisting in this litigation) who sign Exhibit A attached hereto.

Nothing herein shall prevent the producing party from showing the documents or information to an employee of that party.

- 6. No Copies/Notes. Except for internal use by outside counsel for the parties hereto, for Court and deposition copies, and for such use as is expressly permitted under the terms hereof, no person granted access to Confidential Information shall make copies, reproductions, transcripts, or facsimiles of the same or any portion thereof or shall take notes or otherwise summarize the contents of such Confidential Information.
- 7. Disputes over Access. If a dispute arises as to whether a particular person should be granted access to Confidential Information, and after good faith efforts to resolve the dispute, any party apply to the Court for guidance.

## **Use of Confidential Information**

- 8. *Use in this Litigation Only*. Confidential Information may be used only for purposes of this litigation. Each person to whom the disclosure of any Confidential Information is made shall not, directly or indirectly, use, disclose, or disseminate, or attempt to use, disclose, or disseminate, any of the same except as expressly provided herein.
- 9. Use at Depositions. If Confidential Information is to be discussed or disclosed during a deposition, the producing party shall have the right to exclude from attendance at the deposition, during the time the Confidential Information is to be discussed, any person not entitled under this Order to receive the Confidential Information.
- 10. Use at Court Hearings and Trial. Subject to the Rules of Evidence, Confidential Information may be offered into evidence at trial or at any hearing or oral argument, provided that the proponent of the evidence containing Confidential Information gives reasonable notice to the Court and counsel for the producing Confidential Information gives reasonable advance notice to the Court and counsel for the producing or designating party. Any party may move the Court for an order that the evidence be received in camera or under other conditions to prevent unnecessary disclosure. If presented at trial, the status of evidence as Confidential Information shall not be disclosed to the finder of fact.
- 11. *Filing Under Seal*. The party asserting confidentiality shall have the obligation to apply to the Court for leave to have documents filed under seal.
- 12. Reasonable Precautions. Counsel for each party shall take all reasonable precautions to prevent unauthorized or inadvertent disclosure of any Confidential Information.
- 13. Return After Litigation. Within thirty (30) days of the final termination of this litigation by judgment, appeal, settlement, or otherwise, or sooner if so ordered by the Court, counsel for each party shall return to counsel for the party who furnished the same all items constituting, containing

or reflecting the other party's Confidential Information the expense of the party asserting the

privilege. This provision may be modified to comply with rules regarding record retention.

Other Provisions

14. Not an Admission. Nothing in this Order shall constitute an admission by the party that

information designated as Confidential is actually Confidential Information. Furthermore, nothing

contained herein shall preclude the parties or a person from raising any available objection, or

seeking any available protection with respect to any Confidential Information, including but not

limited to the grounds of admissibility of evidence, materiality, trial preparation materials and

privilege.

15. Miscellaneous. This Order shall apply to the production of all materials whether or not such

materials are informally produced or produced in response to a formal discovery request or a

Court order in this litigation. This Order may be used to protect the confidentiality of the residential

addresses and social security numbers of the parties and of any and all current or former

employees of either of the parties or their affiliates.

The parties having stipulated and agreed hereto, it is SO ORDERED, this 24th day of

April, 2024.

SO ORDERED.

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The Clerk of Court is respectfully directed to terminate ECF No. 6. Counsel for Plaintiff shall promptly file a notice of appearance.

So Stipulated and Agreed:

ALBERT W. CORNACHIO, P.C.

Albert W. Cornachio, Esq.
Attorneys for Plaintiff
KWOK SOOHOO and PIK SOOHOO
800 Westchester Avenue, S-S608
Rye Brook, NY 10573
(914) 253-6533
awc3@cornachiolaw.com

STRONGIN ROTHMAN & ABRAMS LLP

Martin M. Adler, Esq.

Attorneys for Defendant

HOME DEPOT U.S.A., INC.
80 Pine Street, 10th Floor

New York, New York 10005
(212) 931-8300

madler@sralawfirm.com